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PTO/SB/05 (4/98)  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. **Mo-6021/WW-5562**First Inventor or Application Identifier **Hartwig Schlesiger**Title **A PROCESS FOR PRODUCING PARTICULATE, WATER...**Express Mail Label No. **EL062670283US**

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

 Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
 (Submit an original and a duplicate for processing)

2. ☒ Specification [Total Pages **36**]  
 (preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfile Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ☐

4. Oath or Declaration [Total Pages ☐

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
 (for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\* NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

5. ☐ Microfilm Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
13. ☐ Small Entity Statement filed in prior application (PTO/SB-09-12) ☐ Status still proper and desired
14. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Other: \_\_\_\_\_

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

00157

or ☐ Correspondence address below

(Insert Customer No. or Attach Bar Code Label here)

Name	Bayer Corporation				
Address	Patent Department				
	100 Bayer Road				
City	Pittsburgh	State	PA	Zip Code	15205-9741
Country	USA	Telephone	(412) 777-2349	Fax	(412) 777-5449

Name (Print/Type)	James R. Franks	Registration No. (Attorney/Agent)	42,552
Signature	<i>James R. Franks</i>	Date	2/16/01

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28

TOTAL AMOUNT OF PAYMENT (\$)**750.00**

## Complete if Known

Application Number **To be Assigned**  
Filing Date **Herewith**  
First Named inventor **Hartwig Schlesinger**  
Examiner Name **---**  
Group / Art Unit **---**  
Attorney Docket No. **Mo-6021/WW-5562**

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **13-3848**  
Deposit Account Name **Bayer Corporation**

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 690 201 345			Utility filing fee	<b>710.00</b>
106 310 208 155			Design filing fee	
107 480 207 240			Plant filing fee	
108 690 208 345			Reissue filing fee	
114 150 214 75			Provisional filing fee	

SUBTOTAL (1) (\$)**710.00**

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
14	20** = 0	18	0
1	3*** = 0	80	0
Multiple Dependent			0

\*\*or number previously paid, if greater. For Reissues, see below

Large Entity Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
103 18 203 9			Claims in excess of 20	
102 78 202 39			Independent claims in excess of 3	
104 260 204 130			Multiple dependent claim, if not paid over original patent	
109 78 209 39			** Reissue independent claims over original patent	
110 18 210 9			** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)**0.00**

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65			Surcharge - late filing fee or oath	<b>0.00</b>
127 50 227 25			Surcharge - late provisional filing fee or cover sheet	<b>0.00</b>
139 130 139 130			Non-English specification	<b>0.00</b>
147 2,520 147 2,520			For filing a request for reexamination	<b>0.00</b>
112 920* 112 920*			Requesting publication of SIR prior to Examiner action	<b>0.00</b>
113 1,840* 113 1,840*			Requesting publication of SIR after Examiner action	<b>0.00</b>
115 110 215 55			Extension for reply within first month	<b>0.00</b>
116 380 216 190			Extension for reply within second month	<b>0.00</b>
117 870 217 435			Extension for reply within third month	<b>0.00</b>
118 1,360 218 680			Extension for reply within fourth month	<b>0.00</b>
128 1,850 228 925			Extension for reply within fifth month	<b>0.00</b>
119 300 219 150			Notice of Appeal	<b>0.00</b>
120 300 220 150			Filing a brief in support of an appeal	<b>0.00</b>
121 260 221 130			Request for oral hearing	<b>0.00</b>
138 1,510 138 1,510			Petition to institute a public use proceeding	<b>0.00</b>
140 110 240 55			Petition to revive - unavoidable	<b>0.00</b>
141 1,210 241 605			Petition to revive - unintentional	<b>0.00</b>
142 1,210 242 605			Utility issue fee (or reissue)	<b>0.00</b>
143 430 243 215			Design issue fee	<b>0.00</b>
144 580 244 290			Plant issue fee	<b>0.00</b>
122 130 122 130			Petitions to the Commissioner	<b>0.00</b>
123 50 123 50			Petitions related to provisional applications	<b>0.00</b>
126 240 126 240			Submission of Information Disclosure Stmt	<b>0.00</b>
581 40 581 40			Recording each patent assignment per property (times number of properties)	<b>40.00</b>
145 690 245 345			Filing a submission after final rejection (37 CFR § 1.129(a))	<b>0.00</b>
149 690 249 345			For each additional invention to be examined (37 CFR § 1.129(b))	<b>0.00</b>
			Other fee (specify) _____	<b>0.00</b>
			Other fee (specify) _____	<b>0.00</b>

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**40.00**

## SUBMITTED BY

Name (Print/Type) **James R. Franks**

Registration No. (Attorney/Agent) **42,552**

Telephone **777-2349**

Signature *James R. Franks*

Date **2/16/01**

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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